



28/4
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cohen et al.

Examiner: Louie, W.

Serial No.: 09/502,729

Group: Art Unit 2814

Filed: February 11, 2000

Docket: YO999-573 (728-163)

For: DIFFUSION BARRIER LAYER
AND SEMICONDUCTOR DEVICE
CONTAINING SAME

Dated: November 14, 2001

Commissioner for Patents
Washington, D.C. 20231

AMENDMENT TRANSMITTAL FORM

Sir:

Transmitted herewith is an amendment in the above-identified application.

[] Small entity status of this application under 37 C.F.R. § 1.9 and 1.27 has been established by a verified statement previously submitted.
[] A verified statement to establish small entity under 37 C.F.R. § 1.9 and 1.27 is enclosed.
[X] No additional fee is required.

The fee has been calculated as shown below:

| (Col. 1) | (Col. 2) | (Col. 3) | SMALL ENTITY | OTHER THAN SMALL ENTITY | | |
|--|---------------------------------------|------------------|-------------------|----------------------------|---------|---------------|
| CLAIMS REMAINING AFTER AMENDMENT | HIGHEST NO. PREVIOUSLY PAID FOR | PRESENT EXTRA | RATE | ADDIT. FEE OR | RATE | ADDIT. FEE |
| TOTAL | 16 MINUS 34 | = 0 | X 9 \$ | | X 18 \$ | |
| INDEP. | 2 MINUS 4 | = 0 | X 40 \$ | | X 80 \$ | |
| <input type="checkbox"/> FIRST PRESENTATION OF MULTIPLE DEP. CLAIM | | | X 135 \$ | X 270 \$ | | |
| | | | TOTAL | OR TOTAL \$ | | |
| | | | <u>ADDIT. FEE</u> | <u>\$ 0</u> | | |

* If the entry in Co. 1 is less than entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid for" IN THIS SPACE is less than 20, enter "20".

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, enter "3".

The Highest No. Previously Paid For (Total or indep.) is the highest number found in the appropriate box in Col. 1 of a prior amendment or the number of claims originally filed.

CERTIFICATE OF MAILING UNDER 37 C.F.R. §1.8(a)

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, postpaid in an envelope, addressed to the: Assistant Commissioner for Patents, Washington, D.C. 20231 on November 14, 2001.

Dated: November 14, 2001

Adrienne Garibotto
Adrienne Garibotto

Please charge Deposit Account No. 04-1121 in the amount of \$_____. Two (2) copies of this sheet are enclosed.

A check in the amount of \$____ is enclosed.

Please charge any deficiency as well as any other fee(s) which may become due under 37 C.F.R. § 1.16 and/or 1.17 at any time during the pendency of this application, or credit any overpayment of such fee(s) to Deposit Account No. 04-1121. Also, in the event any extensions of time for responding are required for the pending application(s), please treat this paper as a petition to extend the time as required and charge Deposit Account No. 04-1121 therefor. TWO (2) COPIES OF THIS SHEET ARE ENCLOSED.

Respectfully submitted,



Michael E. Carmen
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MEC/ag